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TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
03-019

In Re Application Of: **WALKER et al.**

Serial No.
10/791,317

Filing Date
March 2, 2004

Examiner
Not Yet Assigned

Group Art Unit
3713

Title: **METHOD AND APPARATUS FOR DETERMINING AND PRESENTING OUTCOMES AT A GAMING DEVICE**



TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Information Disclosure Statement (5 pp);
PTO Form-1449 (2 pp.) and references cited therein (22); and
Return Receipt Postcard.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

50-0271

Dated: **June 14, 2004**

Signature

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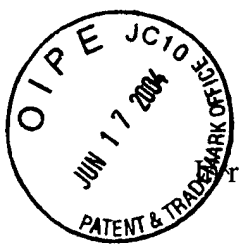
I certify that this document and fee is being deposited on **June 14, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Michael D. Brinton

Typed or Printed Name of Person Mailing Correspondence

cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: WALKER et al.

Serial No.: 10/791,317

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For: METHOD AND APPARATUS FOR
DETERMINING AND PRESENTING
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) Examiner: Not Yet Assigned

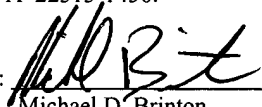
) Group Art Unit: 3713

) Attorney Docket No: 03-019

) **CUSTOMER NO.: 22927**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope with sufficient postage and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 06/14/04 By: 

Michael D. Brinton

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): _____
_____.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): _____.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

[X] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.

5. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
 - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

☐ The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify:

☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

[] The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

11. [X] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. [] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

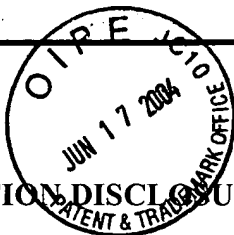
Respectfully submitted,



June 14, 2004

Date

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INFORMATION DISCLOSURE CITATION

Docket Number

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Applicants

WALKER et al.

Filing Date

March 2, 2004

Group Art Unit

3713

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E |
|---------------------|-----|-----------------|----------|--------------------|-------|---------------|--------------------------------------|
| | A | 5,722,891 | 03/03/98 | Inoue | 463 | 20 | |
| | B | 5,732,950 | 03/31/98 | Moody | 273 | 292 | |
| | C | 5,823,873 | 10/20/98 | Moody | 463 | 13 | |
| | D | 5,833,536 | 11/10/98 | Davids et al. | 463 | 11 | |
| | E | 5,890,962 | 04/06/99 | Takemoto | 463 | 20 | |
| | F | 5,980,384 | 11/09/99 | Barrie | 463 | 16 | |
| | G | 6,093,102 | 07/25/00 | Bennett | 463 | 20 | |
| | H | US 6,203,428 B1 | 03/20/01 | Giobbi et al. | 463 | 16 | |
| | I | US 6,220,959 B1 | 04/24/01 | Holmes, Jr. et al. | 463 | 13 | |
| | J | US 6,241,607 B1 | 06/05/01 | Payne et al. | 463 | 20 | |
| | K | US 6,261,178 B1 | 07/17/01 | Bennett | 463 | 20 | |

FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
|--|-----|-----------------|------|---------|-------|---------------|-------------|----|
| | | | | | | | Yes | No |
| | | | | | | | | |
| | | | | | | | | |

OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)

| | | | | | | | |
|--|---|--|--|--|--|--|--|
| | L | Website: "Action Gaming Inc / Video Poker Design / Architecture of Success / Hundred Play Poker", (http www.actiongaming.com/productdesigns/100play.asp), download date: June 1, 2004. | | | | | |
|--|---|--|--|--|--|--|--|

EXAMINER

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| | | | | | | | |
|--|--|--|--|-------------------------------------|--|------------------------------------|--|
| INFORMATION DISCLOSURE CITATION | | | | Docket Number 03-019 | | Serial Number 10/791,317 | |
| | | | | Applicants WALKER et al. | | | |
| | | | | Filing Date March 2, 2004 | | Group Art Unit 3713 | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----|--------------------|----------|-----------------|-------|---------------|--------------------------------------|
| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIAT E |
| | M | US 2002/0045474 A1 | 04/18/02 | Singer et al. | 463 | 20 | |
| | N | US 6,375,570 B1 | 04/23/02 | Poole | 463 | 31 | |
| | O | US 6,494,785 B1 | 12/17/02 | Gerrard et al. | 463 | 20 | |
| | P | US 2003/0045345 A1 | 03/06/03 | Berman | 463 | 20 | |
| | Q | US 6,547,242 B1 | 04/15/03 | Sugiyama et al. | 273 | 142 R | |
| | R | US 6,695,695 B2 | 02/24/04 | Angel | 463 | 13 | |
| | S | US 6,709,331 B2 | 03/23/04 | Berman | 463 | 16 | |
| | | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-----|-----------------|------|---------|-------|---------------|-------------|----|
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | Translation | |
| | | | | | | | Yes | No |
| | | | | | | | | |

| OTHER DOCUMENTS <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i> | | |
|---|---|---|
| | T | Website: "Frommers com : Destinations : Las Vegas : Slots", (http //www frommers com/destinations/print_narrative cfm?destID=13&catID=0013030126), download date: June 2, 2004. |
| | U | Website: "Action Gaming Inc / Video Poker Design / Architecture of Success / Matrix Poker", (http // www actiongaming com/productdesigns/matrix_poker asp), download date: June 3, 2004. |
| | V | Website: "WagerWorks Software Review – The Wizard of Odds", (http //wizardofodds com/software/ReviewWagerworks html), download date: June 3, 2004. |

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|-----------------|-------------------------|
| EXAMINER | DATE CONSIDERED: |
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